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Navigating the NIH Public Access Policy

GAIL STEINHART

SCHOLARLY COMMUNICATION LIBRARIAN

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Today's presentation

What is the NIH Public Access Policy?

Steps in the process

Common pitfalls

Planning for compliance

Continuing support

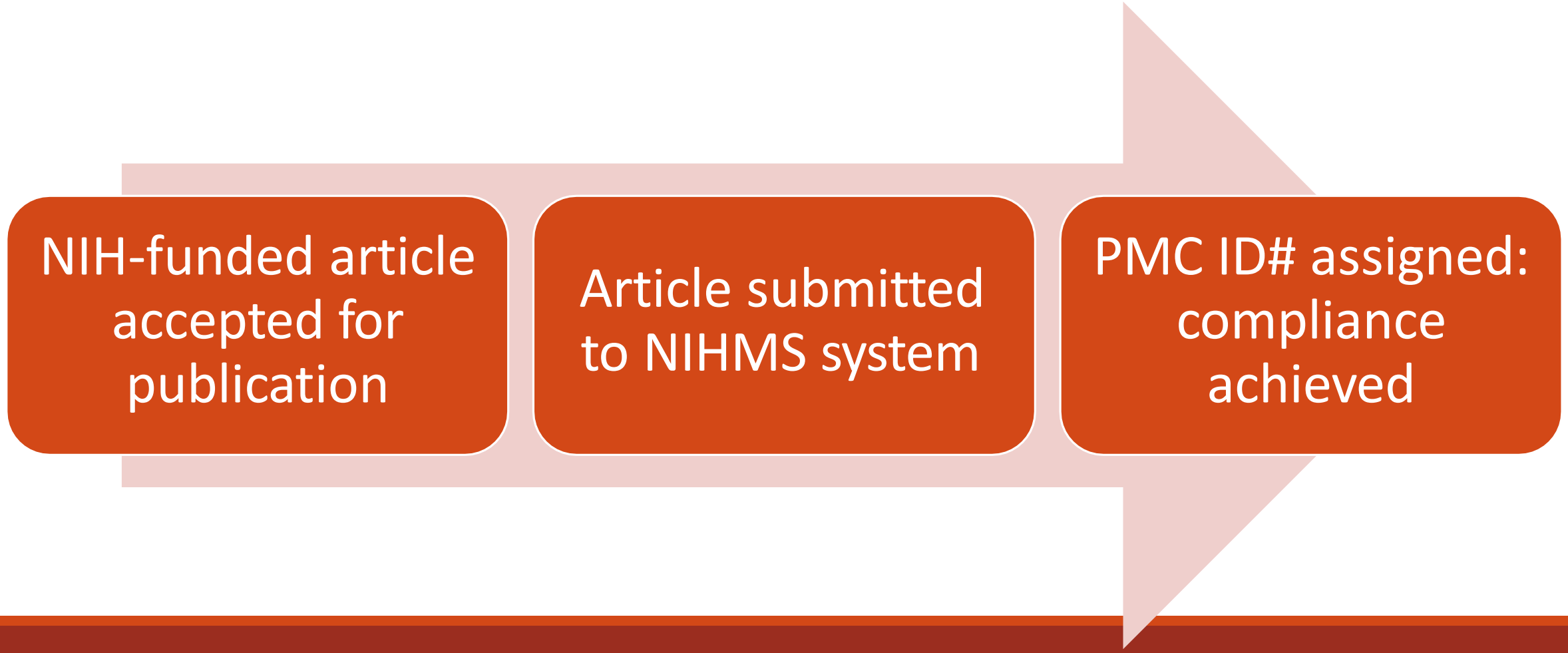
Update on emerging policies from other funders

What is the NIH Public Access Policy?

"…ensures that the public has access to the published results of NIH-funded research. It requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to the digital archive PubMed Central…"

NIH Public Access Policy

The process, generally speaking



WHAT…is subject to the policy?

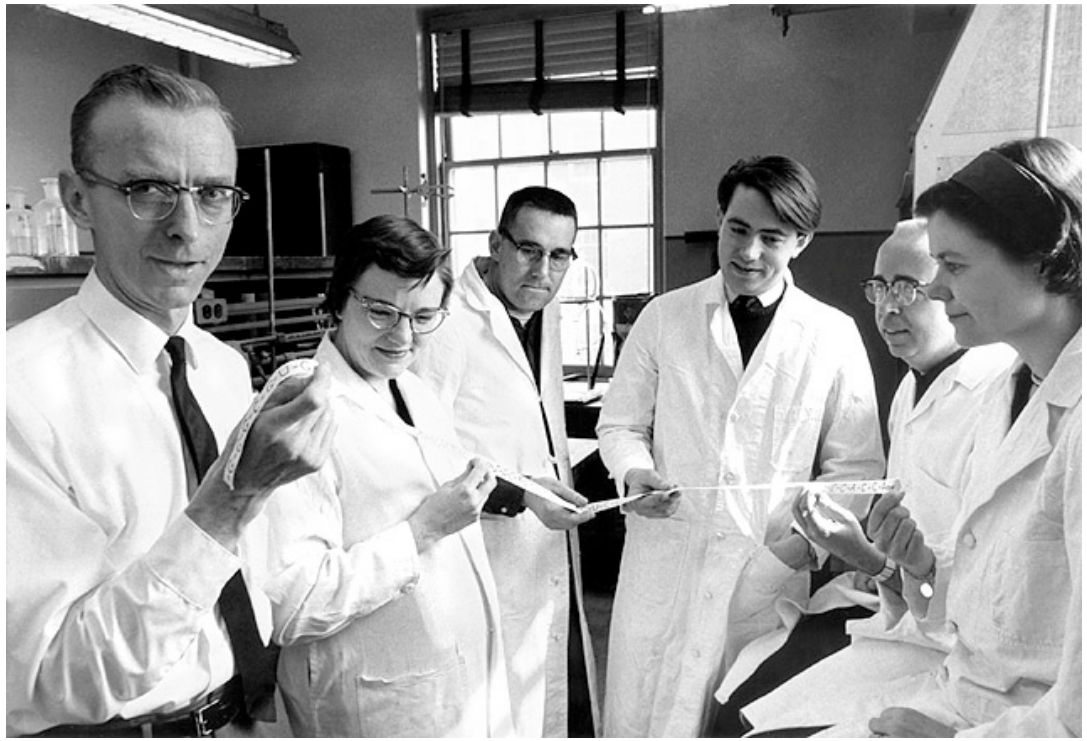
Peer-reviewed articles
directly funded by an NIH award

WHAT…should be submitted?

Final version of a peer-reviewed article to be submitted for publication, after author modifications from the peer-review process and before journal copy-editing and formatting. (the “post-print”)

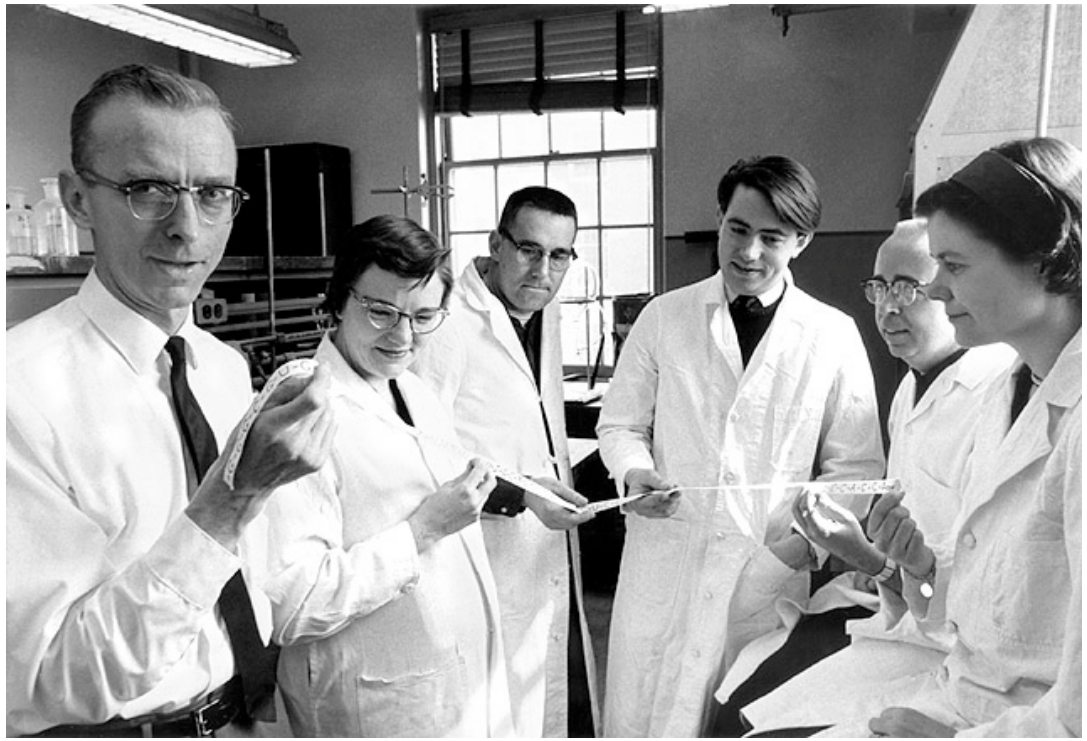
WHO…is responsible for compliance?

The principal investigator on the NIH award

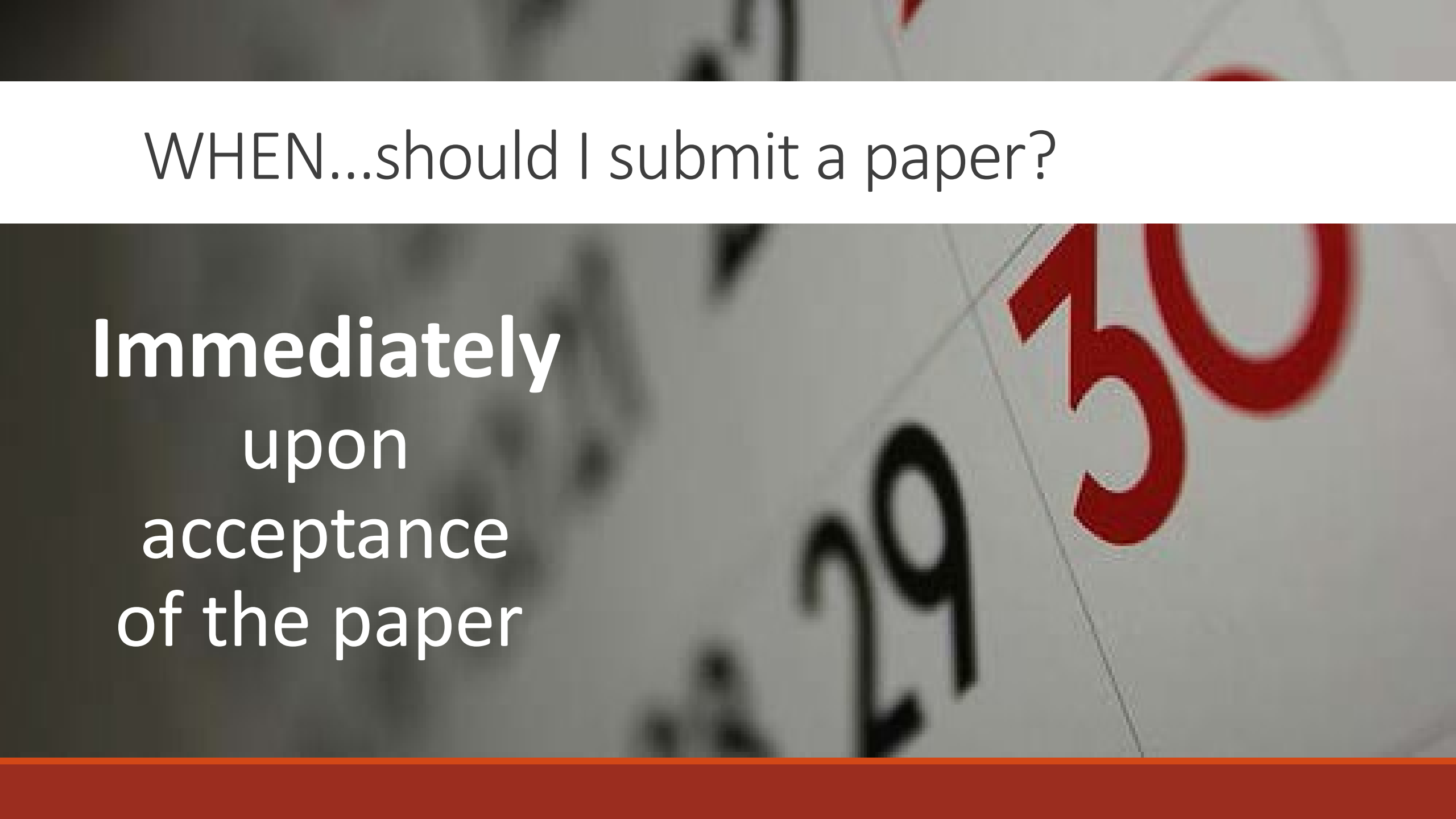


WHO…can start the submission process?

Anyone!

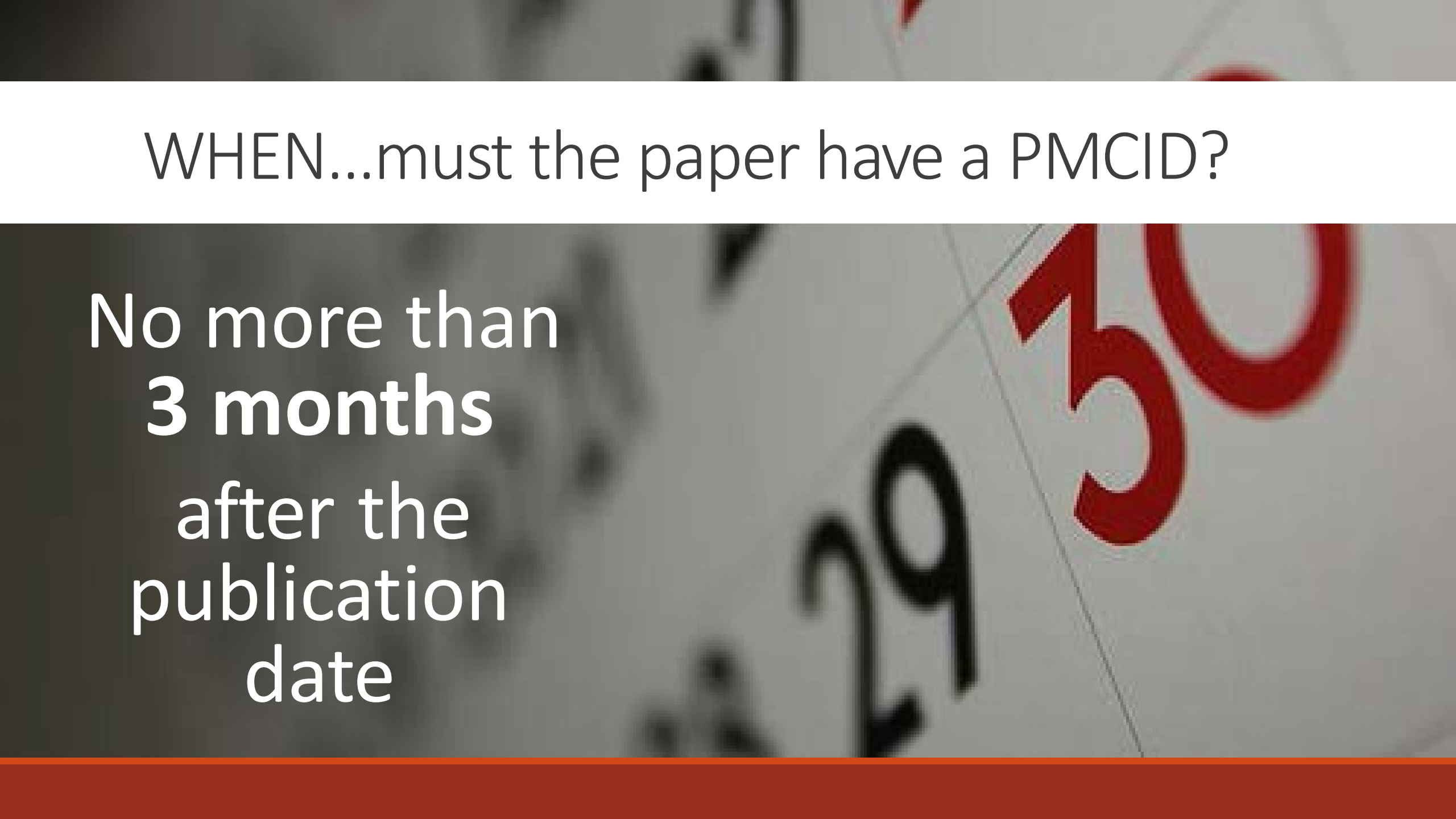


WHEN…should I submit a paper?



Immediately
upon
acceptance
of the paper

WHEN…must the paper have a PMCID?



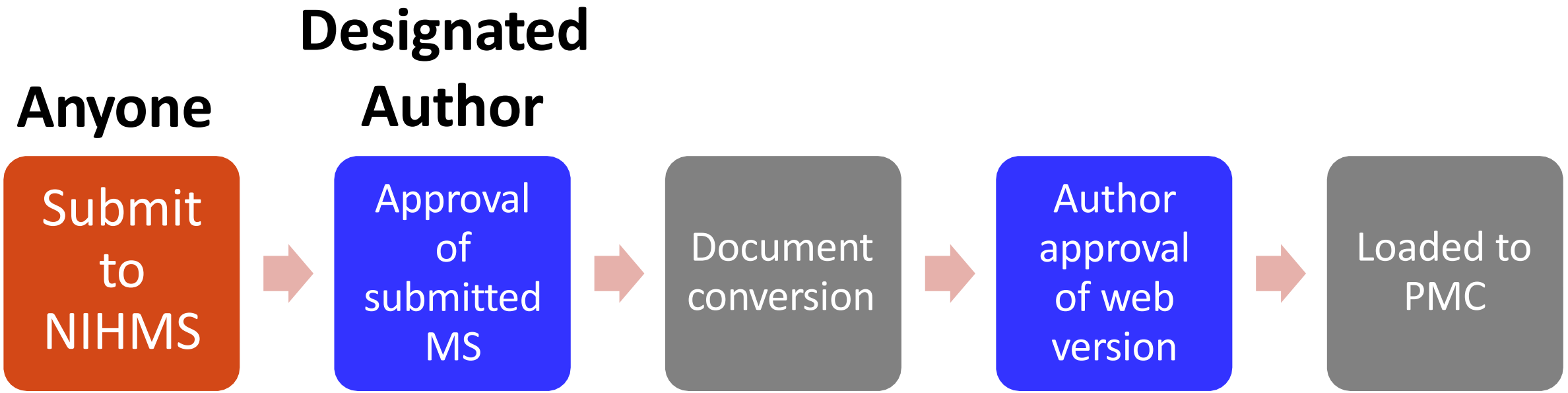
No more than
3 months
after the publication date

WHEN…must a paper be publically accessible?

No more than **12 months** after the publication date

Anyone

Submit to NIHMS
Approval of submitted MS
Document conversion
Author approval of web version
Loaded to PMC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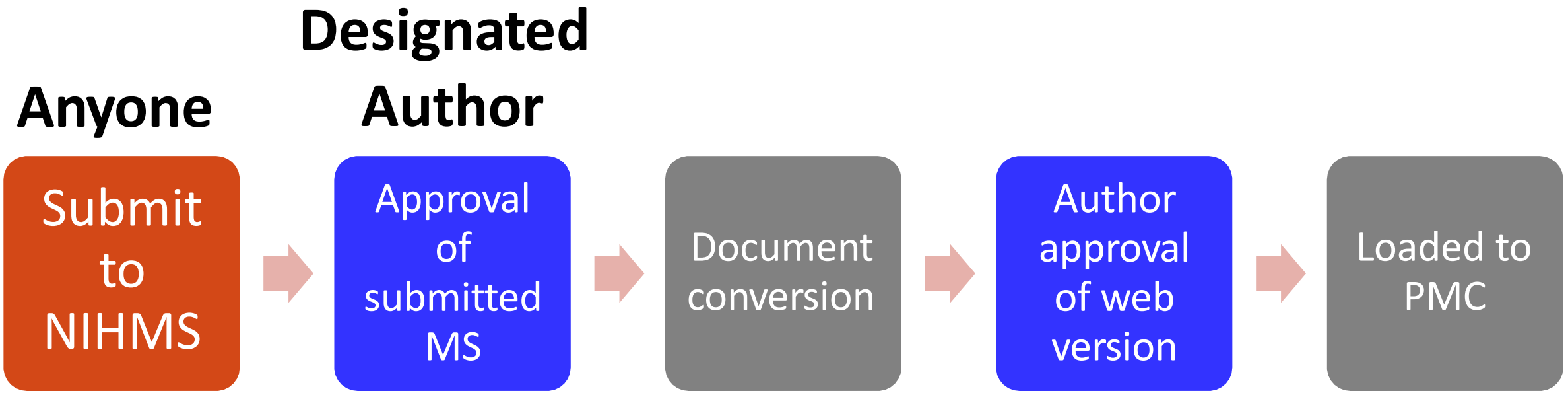
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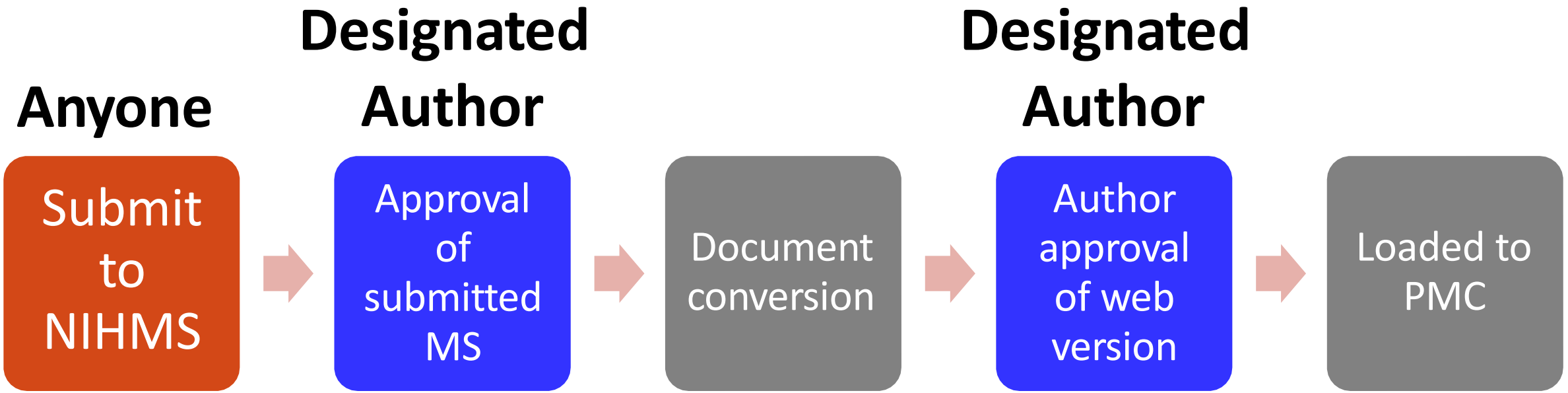
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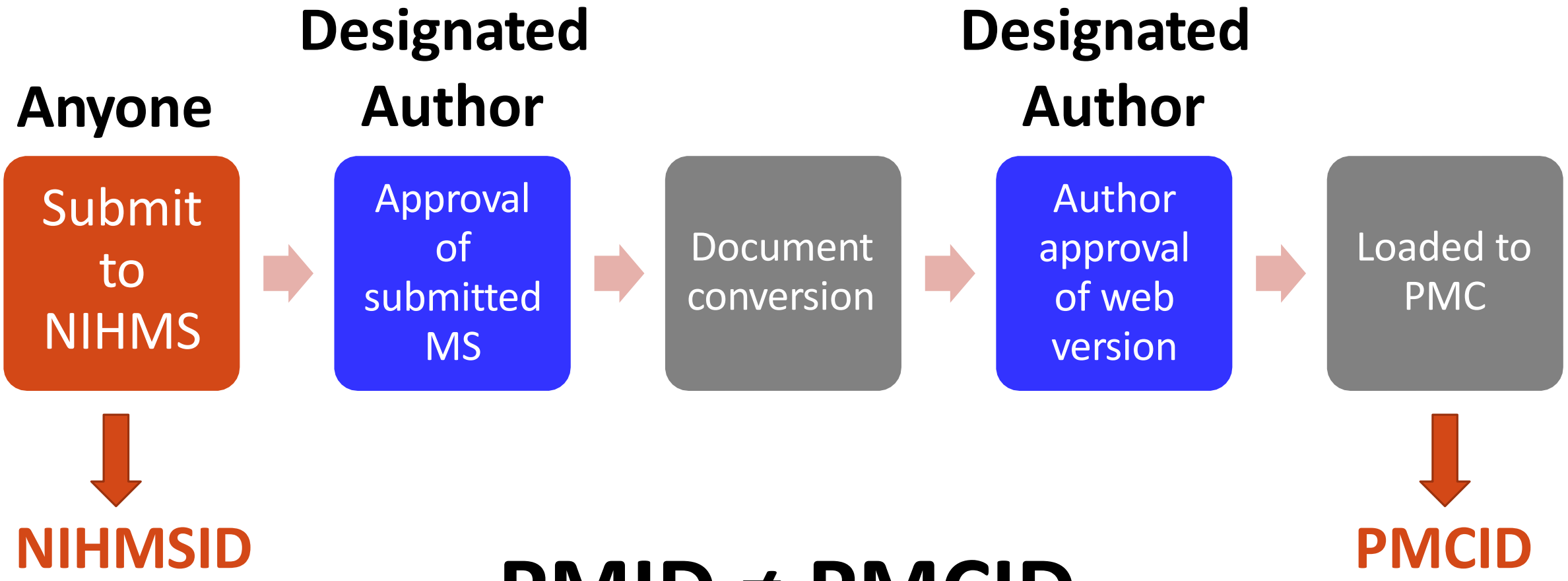
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Approval of submitted MS
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NIHMSID
PMCID

PMID ≠ PMCID

Common pitfalls

An approval step email was missed

The designated author is no longer available

The ‘non-compliant’ paper should be exempt

The journal didn’t submit the article to NIHMS

Articles reported without PI’s awareness

Planning for compliance

Understand your copyrights and the journal's submission process

Be sure the journal's author agreement allows for compliance

Let the publisher know the work is subject to the NIH policy

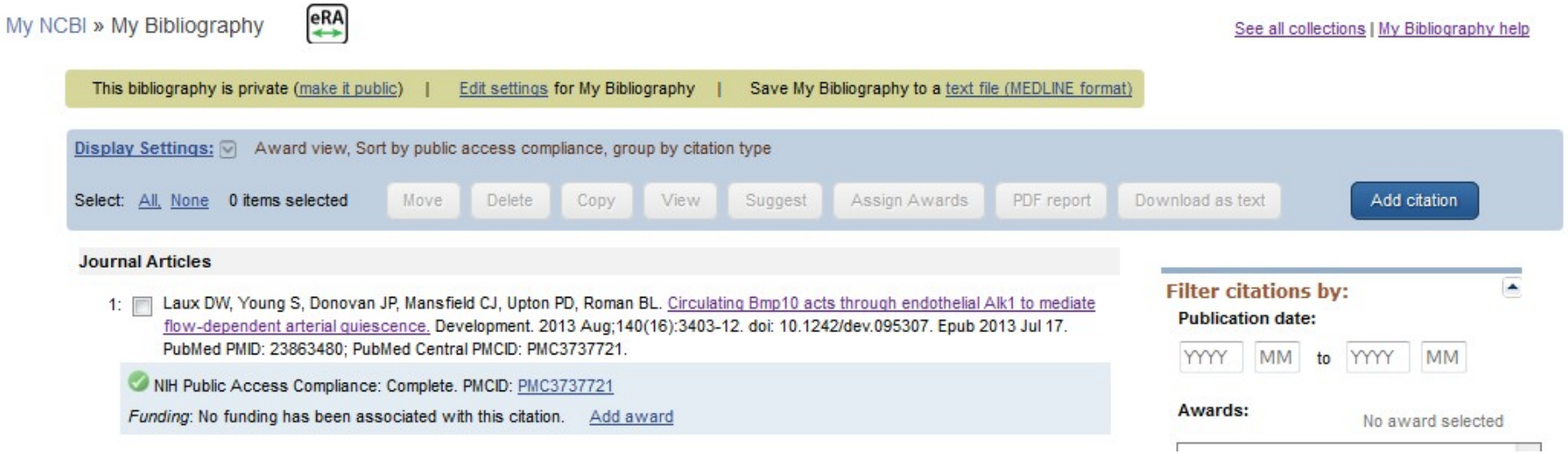
Be aware of the different journal submission methods

Planning for compliance

Keep track of your final, peer-reviewed documents

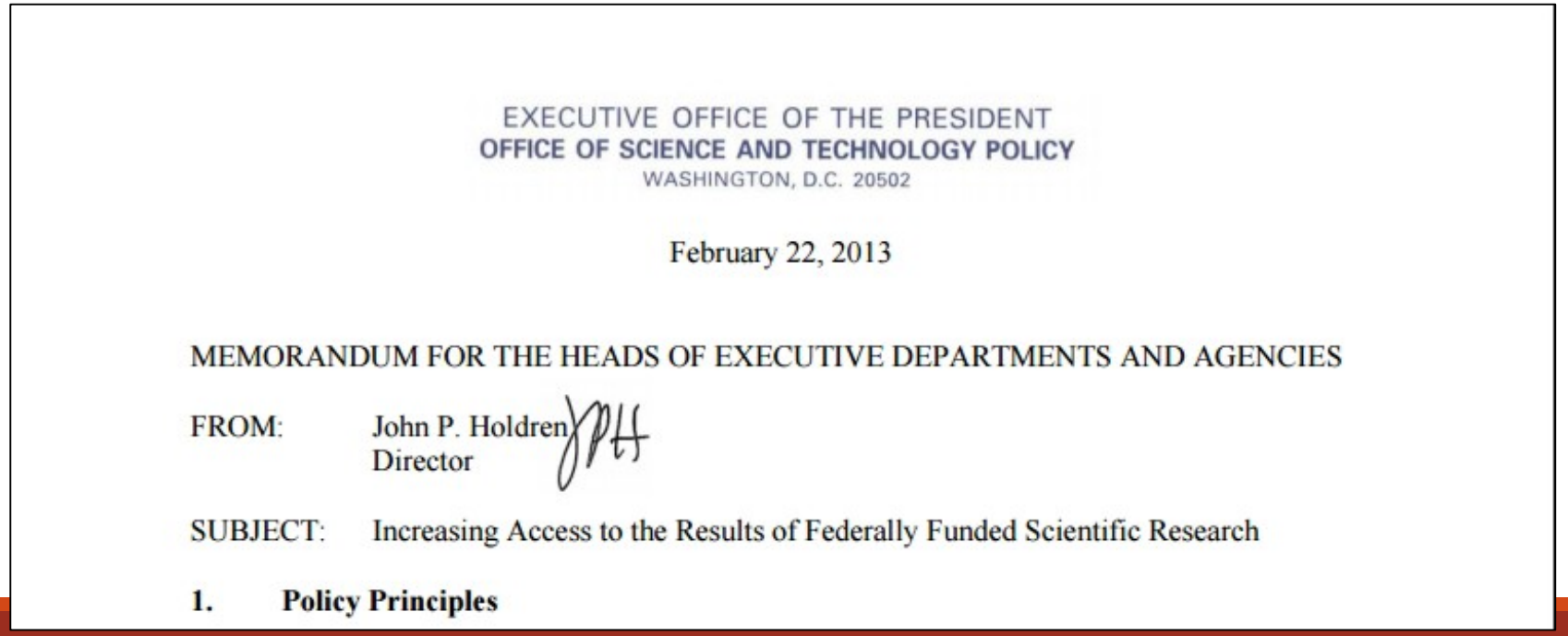
Planning for compliance

Manage and *monitor* your publications in My Bibliography



Public access policy developments

Major federal funders required to have policies to ensure public access to data *and* publications



EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY
WASHINGTON, D.C. 20502

February 22, 2013

MEMORANDUM FOR THE HEADS OF EXECUTIVE DEPARTMENTS AND AGENCIES

FROM: John P. Holdren
Director

SUBJECT: Increasing Access to the Results of Federally Funded Scientific Research

1. Policy Principles

Public access policy developments

Common policy elements for publications

- Applicable content: agency-funded, peer-reviewed, publicly releasable (mostly)
- Version to submit: Final, peer-reviewed and edited (or publisher copy, with permission)
- Allowable embargo: up to 12 months from date of publication
- Designated repository: usually specified by agency; open-access publishing is not a requirement and does not ensure compliance
- Implementation dates: most expected to roll out this year, if not already in effect

There may be some details specific to particular agencies (required file format, ORCID iD, DOI).

Public access policy developments

Common policy elements for data

- Grant proposal requirements: data management plan
- Applicable content: agency-funded, publicly releasable digital data; sometimes limited to data underlying publications
- Allowable embargo: usually end of award, but allowances may be made for IP concerns
- Designated repository: often not specified; may have a central data catalog
- Implementation dates: most expected to roll out this year, if not already in effect

There are often differences and details specific to particular programs within agencies.

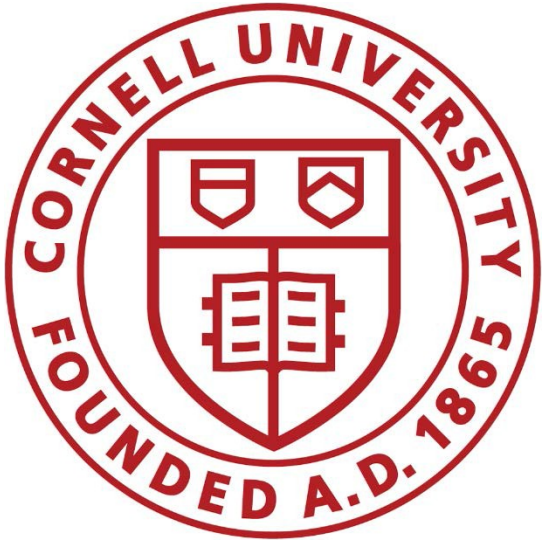
Public access policy support @ Cornell

For publications:

- http://publicaccesshelp.library.cornell.edu
- publicaccesshelp@cornell.edu

For research data:

- http://data.research.cornell.edu
- RDMSG-help@cornell.edu



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