Federal Updates

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Jamie Sprague

Associate Director, Federal Team Office of Sponsored Programs



Updated Uniform Guidance

Effective 10/1/2024

- September 2024 OSP Roundtable
 - <u>Slide deck</u>
 - <u>Recording</u>



Updated Uniform Guidance

Effective 10/1/2024

- Key takeaways:
 - Each agency is issuing and implementing their guidance one by one.
 - Don't worry about the changed equipment and/or the updated subaward MTDC exclusion until OSP/SFS issues further guidance.
 - The allowability rules have changed for things like direct charging admin/clerical costs, but the internal approval process to reflect the shift from sponsor to institution approval is still TBD.
 - The rules regarding terminal leave have also changed and will be covered in greater detail during a SFS Dialog soon.
 - Stay tuned for more guidance from OSP/SFS.

Research Continuity During Unexpected Disruptions

Unexpected disruptions to research can arise from a variety of situations from individual life circumstances to national or global situations. The Office of the Vice President for Research & Innovation (OVPRI) suggests proactive steps for all faculty to consider for maintaining research continuity when faced with unexpected disruptions.



Note: Cornell monitors developing situations and prepares responses from an institutional perspective.

Stay Informed

- Pages on the Research Services website are always available.
- When a disruption is imminent or active:
 - Pages are updated to address specific issues.
 - Banner is turned on to make them easy to find. •
- Email communications are sent to the broad audience of people doing or • supporting research across our One Cornell communities.



Published on: Monday, September 25, 2023 - 5:45pm

Compliance,

Ethics, Safety

Office Hours

- Faculty can meet with associate vice provosts.
- Request time here: https://researchservic es.cornell.edu/resourc es/office-hourssupport-researchcontinuity-planning

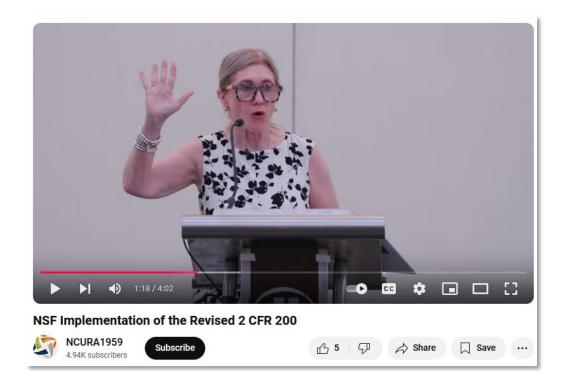
National Science Foundation (NSF)



Updated Uniform Guidance Implementation

Effective 10/1/2024

- NSF implemented the updated 2 CFR 200 in two ways:
 - Standard Award Terms and Conditions
 - NSF Proposal and Award Policies and Procedures Guide (PAPPG)



https://www.youtube.com/watch?v=CIZVl2Io_Dw

Updated Terms & Conditions

Effective 10/1/2024

- The Research Terms and Conditions (RTC) will no longer be referenced in awards made on or after October 1, 2024. The RTC page will be archived but will remain available for prior awards made subject to the RTCs.
- NSF has revised the Grant General Conditions (GC-1) for awards made on or after October 1st.

Research Terms and Conditions

The Research Terms and Conditions have not been modified to implement the new 2 CFR and therefore incorporated into new awards made on or after October 1, 2024.

The Office of Management and Budget (OMB) mandated awarding agencies adopt revisions to 2 CFR 200: Uniform Adi Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). Agency implementat provide specific details on how participating agencies implemented the revised Research Terms and Conditions (RTCs) with this requirement, the updated RTCs, which implement the changes to 2 CFR 200, became effective November 12, : includes all supplemental appendices (Appendix A: Prior Approval Matrix, Appendix B: Subaward Requirements, and A National Policy Requirements).

Research Terms and Conditions (RTC) Agency Implementation Statements

- April 21, 2021
- November 12, 2020

RTC Overlay to 2 CFR 200 - November 12, 2020

Technical Corrections Statement

RTC Appendix A Prior Approval Matrix - November 12, 2020

RTC Appendix B Subaward Requirements - November 12, 2020

RTC Appendix C National Policy Requirements - November 12, 2020

AGENCY SPECIFIC REQUIREMENTS

- DHS N/A
- DOC 11/20
- DOE 11/20
- HHS/NIH 4/21, 11/20
- NASA 11/20
- NSF 05/24 (Summary of Changes in 05/24), 01/23 (Summary of Changes in 01/23), 05/22 (Summary of Change 10/21 (Summary of Changes in 10/21), 11/20 (Summary of Changes in 11/20), 10/20 (Summary of Changes in 10
- USDA/NIFA 11/20



U.S. NATIONAL SCIENCE FOUNDATION (NSF) Grant General Conditions (GC-1)

Effective for all new NSF grants and funding amendments on existing grants made on or after October 1, 2024¹

U.S. National Science Foundation website address: <http://www.nsf.gov> Award Conditions website address: https://www.nsf.gov/awards/managing/general_conditions.jsp

Revision of the Grant General Conditions (GC-1)

The primary purpose of the revision of the *Grant General Conditions* (GC-1) is to implement the revised 2 CFR published in the Federal Register on April 22, 2024, by the Office of Management and Budget. As part of its implementation strategy, NSF has archived the *Research Terms and Conditions* (RTC), and the NSF *Agency Specific Requirements*. Unless otherwise specified, the GC-1 applies to all recipients of NSF grant awards, including Institutions of Higher Education (IHEs), non-profit, non-academic organizations, for-profit organizations (other than Small Business Innovation Research/Small Business Technology Transfer recipients), State and local governments, and Tribal Nations.

The GC-1 will not be applied to NSF cooperative agreements or to NSF fellowship awards made to individuals.

A summary of the changes is included below. Any questions regarding these changes may be directed to the Policy Office, Division of Institution and Award Support, at policy@nsf.gov.

NSF Prior Approval Matrix October 1, 2024

		2 CFR 200 Reference	NSF Reference (where applicable)	Required or Waived
Prior V	Vritten Approval (prior approval)*	200.407		
Cost s	haring	200.407(a)	PAPPG** Chapter VII.C	
	Use of unrecovered indirect costs, including indirect costs on cost sharing	200.306(c)		Waived
	Use of current fair market value to determine the value of recipient or subrecipient contributions of services and donations of property for the purposes of cost sharing	200.306(d)(2)		Waived
	Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the award supports activities that require use of equipment, buildings or land	200.306(h)(2)		Required
Progra	im Income	200.407(b)	PAPPG Chapter VIII.D.4	
	Use of program income during the period of performance (addditive method)	200.307(b)(2)		Waived
Revisi	on of budget and program plans	200.407(c)		
	Change in the scope or the objective of the project or program	200.308(f)(1)	PAPPG Chapter VII.B.1.a	Required
	Change in Principal Investigator (PI) and any co-PIs identified by name or position on the project	200.308(f)(2)	PAPPG Chapter VII.B.2	Required
	Disengagement from the project for more than three months, or a 25 percent reduction in time and effort devoted to the project over the course of the period of performance, by the approved PI	200.308(f)(3)	PAPPG Chapter VII.B.2.a	Required
	Inclusion, unless waived, of costs that require prior approval in accordance with Subpart E as applicable	200.308(f)(4)	GC-1*** Term and Condition No. 11	Waived ¹
	Transfer of funds budgeted for participant support costs to other budget categories	200.308(f)(5)	GC-1 Term and Condition No. 3	Required ²
	Transfer of funds into the participant support cost category	200.456	PAPPG Chapter X.A.3	Waived ³
	Subaward activities not included in the proposal and approved in the award; this requirement does not apply to procurement transactions for goods and services.	200.308(f)(6)	PAPPG Chapter VII.B.4	Required
	Changes in the total approved cost-sharing amount	200.308(f)(7)	PAPPG Chapter VII.C	Required
	Need arises for additional Federal funds to complete the project	200.308(f)(8)	PAPPG Chapter VI.E.5	Required
	Transferring funds between the construction and non-construction work under a Federal award	200.308(f)(9)	GC-1 Term and Condition No. 2	Required
	Initiate a one-time extension of the period of performance by up to 12 months	200.308(g)(2)	PAPPG Chapter VI.D.3.c	Waived
	A no-cost extension or extension of more than 12 months	200.308(f)(10)	PAPPG Chapter VI.D.3.c	Required
	Incur project costs 90 calendar days before the start date of the award	200.308(g)(1)	PAPPG Chapter X.A.2.b	Waived
	Incur project costs more than 90 calendar days before the start date of the award	200.308(g)(1)	PAPPG Chapter X.A.2.b	Required
	Carry-forward unobligated balances to subsequent budget periods	200.308(g)(3)	PAPPG Chapter VI.D.1.d	Waived

https://www.nsf.gov/bfa/dias/policy/gc1/priorapprovals/oct24.pdf



Effective October 2025

- As of September, the draft was being finalized and sent to NSF senior leadership.
- NSF will provide the 60-day comment period, which is still TBD.

OSP Roundtable, April 2024 "NSF PAPPG Changes"

Slide deck: <u>https://researchservices.cornell.edu/sites/default/files/2024-</u> 04/2024.04.04%20-%20April%202024%20OSP%20Roundtable_PAPPG%2024-1.pdf

Recording: <u>https://vod.video.cornell.edu/media/April+OSP+Roundtable/1_tw2a6aio</u>

Research.gov Multi-factor Authentication (MFA)

Effective 10/27/2024

- All external users must complete a one-time MFA enrollment process and use the selected MFA method to sign into Research.gov.
- Give yourself extra time! The NSF HelpDesk is backed up...

NSF 25-011

Dear Colleague Letter: Multifactor Authentication Implementation for Research.gov

October 11, 2024

Dear Colleagues:

As part of our ongoing commitment to enhancing security and safeguarding NSF's IT systems, user accounts, personal and scientific data, and the integrity of the merit review process, effective on October 27, 2024, the U.S. National Science Foundation (NSF) is implementing multifactor authentication (MFA) for Research.gov. With the growing number of cyber threats, traditional password-only security is no longer sufficient. MFA provides an added layer of security and helps to ensure that only authorized users can access Federal resources online.

How does this change impact the external research community starting on October 27?

Effective on October 27, all external users must first complete a one-time MFA enrollment process and use the selected MFA method to sign into Research.gov. The MFA options for each user depend on their assigned role in Research.gov. Users with financial or administrative roles must use a phishing-resistant MFA method. Other users can select a phishing-resistant or regular MFA method; however, NSF strongly recommends that all users choose a phishing-resistant MFA. All Research.gov users must set up a primary MFA sign-in method to access Dependent NFS worked to access Dependent NFS method is users their entropy MFA method.

https://www.nsf.gov/pubs/2025/nsf25011/nsf25011.jsp

Simplification of NSF Funding Opportunities

- OMB mandated effort via M-24-11, Reducing Burden in the Administration of Federal Financial Assistance and the revised UG
- NSF has convened internal work groups and will be holding listening session via the Federal Demonstration Partnership (FDP)
- Considering...
 - Removing sections not required by the revised UG
 - Deleting redundances and reducing length of boilerplate text
 - Directly linking to the PAPPG rather than duplicating text
 - Implementing plain language guidance and word count restrictions

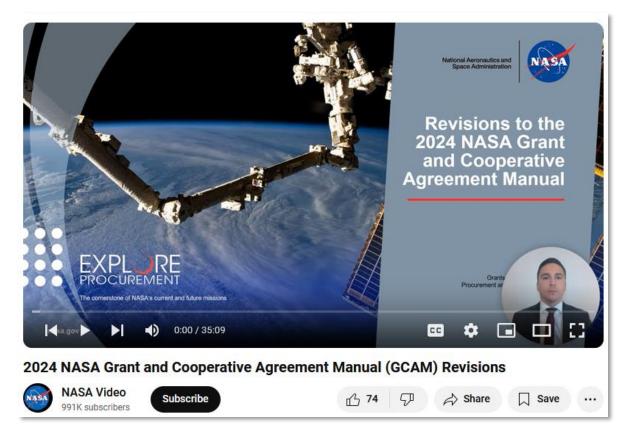
National **Aeronautics** and Space Administration (NASA)

Updated Uniform Guidance Implementation

Effective 10/1/2024

- NASA implemented the updated 2 CFR 200 in two ways:
 - Updated core policy document GCAM
 - Updated standard terms

Grant Notice 24-02 <u>https://www.nasa.gov/wp-</u> content/uploads/2024/10/gn-24-02-nasasimplementation-of-2-cfr.pdf?emrc=6424ac



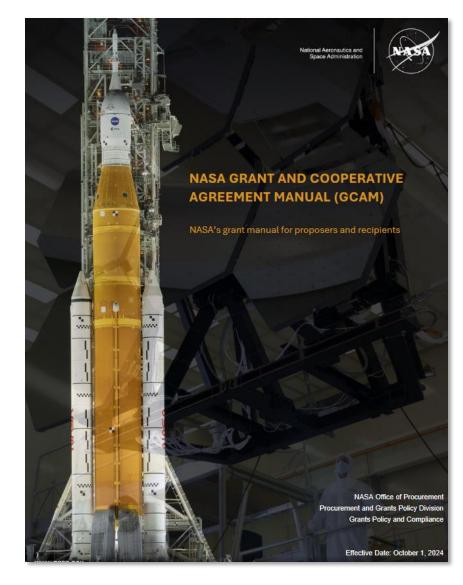
https://www.youtube.com/watch?v=8kYlmJ7A2MA

Consolidated NASA Grant Policy

Effective 10/1/2024

 The Grant & Cooperative Agreement Manual (GCAM) and the Proposers Guide are now one single document, Grant and Cooperative Agreement Manual (GCAM)

Grant Notice 24-01 <u>https://www.nasa.gov/wp-</u> content/uploads/2024/09/gn-24-01-nasa-grant-policyupdate.pdf?emrc=f65413



Common Disclosure Forms

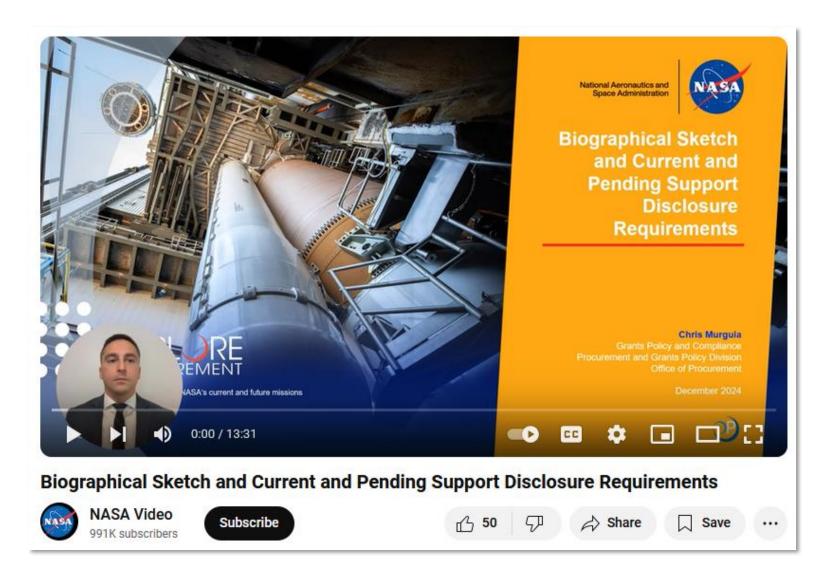
Effective 10/1/2024

- The updated GCAM includes NASA's implementation of the Common Disclosure Forms, see pages 36-38 of the GCAM.
- NASA's updated forms required to be used effective 10/1/2024 can be located here: <u>CPS</u> and <u>Biosketch</u>. The Current & Pending module in RASS has been updated with these new forms.
- All disclosure documents must be signed by the individual. Because NASA is not adopting SciENcv at this time, an alternate method for securing signatures must be used. OSP recommends the use of <u>Adobe Sign</u>.
- Electronically signed documents uploaded in NSPIRES will be stripped of the cryptographic data associated with a digital signature, such as Adobe Sign. As a result, NASA is requiring all applicant institutions to retain the original signed forms consistent with their record keeping policy (see <u>Cornell</u> <u>University Policy 4.7</u>).

NOFO Release	Award Date	Biosketch CPS Reporting Requirement/New Certs and Reps	Submission Method
Prior to October 1, 2024	Prior to October 1, 2024	No new requirements	N/A
Prior to October 1, 2024	On or After October 1, 2024	New requirements apply	Via Email to NSSC Grant Officer, as Requested
On or After October 1, 2024	On or After October 1, 2024	New requirements apply	Submission in NSPIRES

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					Solicitations	(?) Help	① Misconduct Policy	Privacy and	Paperwork Reduction
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▼ Dates					 Docur 	nents			
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Release		Feb 14, 2024			Title				ĵ↓
Close		May 15, 2025			<u>Importan</u>	t ROSES-	24 Update October 11	<u>, 2024</u>	
					ROSES-20 2024 (.PE		mary of Solicitation m	inor update mac	<u>le November 15,</u>

https://nspires.nasaprs.com/external/solicitations/summary!init.do?solId={600EE5E5-E9D5-FF55-0CAD-764F6D4BEEA9}&path=open



https://www.youtube.com/watch?v=qXraCMrhSeE



NASA Pre-award and Post-award Disclosure Requirements

Per the <u>MASA Grant and Cooperative Agreement Manual</u> (GCAM), all senior/key personnel receiving funding from a NASA grant or cooperative agreement are required to comply with the disclosure requirements described below. The table includes the following columns:

- · Type of activity to be disclosed: This column describes the information that senior/key personnel shall disclose to NASA.
- Biographical Sketch; Current & Pending (Other) Support; and Facilities, Equipment, & Other Resources: Activities with a check mark in "Biographical Sketch" or "Current & Pending (Other) Support" shall be disclosed in the relevant disclosure form. Activities with a check mark in "Facilities, Equipment & Other Resources" shall be disclosed in the Facilities, Equipment, & Other Resources section of the award proposal.
- Project Reports: If an activity has a check mark in the "Project Reports" column, then changes to that activity must be reported post-award. Senior/key personnel shall make new disclosures by submitting an updated current and pending support form as part of their annual performance reports, and the performance report itself shall indicate that updated disclosures are being provided.
- Post-award Information Term & Condition: Activities with a check mark in this column are required to be disclosed if it is determined that a senior/key person failed to disclose the information at the time of proposal submission or in a performance report. These disclosures shall be provided via email to the award recipient's cognizant NASA Grant Officer and Technical Officer within 30 calendar days per NASA's terms and conditions.
- Disclosure Not Required: Activities with a check mark in this column do not need to be disclosed to NASA.

https://www.nasa.gov/wpcontent/uploads/2024/09/nasa-preaward-and-post-award-disclosurerequirements.pdf?emrc=a86dba

Table Key

* = for new support only

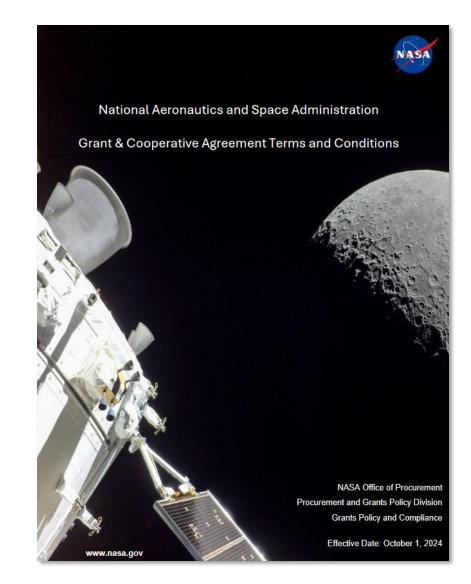
If undisclosed at the time of application submission

Type of Activity	Biographical Sketch	Current & Pending (Other) Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
1. Professional Preparation (e.g., education and training)	~					
2. Academic, professional, or institutional appointments and positions, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	~					
3. A list of products that demonstrate the individual's qualifications to carry out the project as proposed	~					

Updated Terms & Conditions

Effective 10/1/2024

 <u>https://www.nasa.gov/wp-</u> content/uploads/2024/09/nasa -grant-and-cooperativeagreement-terms-andconditions.pdf?emrc=7735d3 Remember, the Research Terms and Conditions (RTC) will no longer be referenced in awards made on or after October 1, 2024.



Appendix A: NASA Prior Approval Matrix for Research Awards

		2CFR 200 Reference	NA SA Reference (Where applicable)	NASA	
	n Approval (prior approval)*	200.407			
Cost sharir	9	200.407(a)	GCAM ** Chapter	2 Section 23.0	
	Use of unrecovered indirect costs, including indirect costs on cost sharing	200.306(c)		Waived	
	Use of current fair market value to determine the value of non-Federal entity contributions of services and donations of property for the purposes of cost sharing	200.306(d)(2)		Waived	
	Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings or land.	200.306(h)(2)		Required	
Program Income		200.407(b)			
	Use of program income during the period of performance (additive method).	200.307(b)(2)		Waived ¹	
Revision of	budget and program plans	200.407(c)			
	Change in the scope or the objective of the project or program.	200.308(f)(1)		Required	
	Change in a Principal Investigator (PI) and any co-PIs identified by name or position on the project	200.308(f)(2)	GATC*** Chapter 1 Section 1.10	Required	
	Disengagement from the project for more than three months, or a 25 percent reduction in time and effort devoted to the project over the course of the period of performance, by the approved	200.308(f)(3)	GATC*** Chapter 1 Section 1.10	Required	
	Inclusion, unless waived, of costs that require prior approval in accordance with Subpart E as applicable	200.308(f)(4)		Required	
	Transfer of funds budgeted for participant costs to other budget categories	200.308(f) (5)			
	Transfer of funds into the participant support cost category	200.456		Required	
	Subaward activities not included in the proposal and approved in the award; this requirement does not apply to procurement. transactions for goods and services.	200.308(f)(6)		Required	
	Changes in the total approved cost-sharing amount	200.308(f)(7)		Required	
	Need arises for additional Federal funds to complete the project.	200.308(f)(8)		Required	
	Transferring funds between the construction and non-construction work under a federal award	200.308(f)(9)		Required	
	A no-cost extension or extension of more than 12 months.	200.308(f)(10)	GCATC Chapter 1 Section 1.8	Required	
	Incur project costs 90 calendar days before the start date of the award	200.308(g)(1)	GCAM Chapter 2 Section 21.0	Waived	
	Incur project costs more than 90 calendar days before the start date of the award	200.308(g)(1)	GCAM Chapter 2 Section 21.1	Required	

National Institutes of Health (NIH)



Updated Uniform Guidance Implementation

Effective 10/1/2024

- Abandoned 45 CFR Part 75 from 2014
- DHHS will apply the increased thresholds in Part 200 that are applicable to (i) subaward costs in calculating modified total direct costs, (ii) equipment, (iii) supplies, (iv) fixed-amount subawards, (v) *de minimis* indirect cost rate, and (vi) audits.
 Further guidance for Cornell will be shared during the SFS Dialog next week.
- Effective October 1, 2025 will fully adopt 2 CFR 200 with minor amendments via 2 CFR 300.

Retirement of NIH's Automated Just-in-Time Email Notification

Effective October 1, 2024

- The automated Just-In-Time (JIT) notification from era-notify@mail.nih.gov was retired!
- The official email requesting JIT material(s) will continue to be sent directly by NIH staff from the awarding Institute/Center to those who are required to provide the material(s)

2.5.1 Just-in-Time Procedures

NIH uses **Just-in-Time** procedures for certain programs and award mechanisms (each NOFO will include specific guidance on the use). These procedures allow certain elements of an application to be submitted later in the application process, after review when the application is under consideration for funding. The standard application elements include other support information (both active and pending) for senior/key personnel; certification of IRB approval of the project's proposed use of human subjects; verification of IACUC approval of the project's proposed use of live vertebrate animals; and evidence of compliance with the education in the protection of human research participants requirement. Other program-specific information may also be requested using this procedure. (Applications in response to RFAs also may be subject to these procedures. The RFA will specify the timing and nature of required submissions.)

Applicants will be notified (primarily by e-mail) when **Just-in-Time** information is needed. This notification is not a **Notice of Award** nor should it be construed to be an indicator of possible funding. Applicants should only submit this information when requested. Information must be submitted electronically using the **Just-in-Time** feature in the eRA Commons. In some circumstances the GMO may ask for information in addition to the descriptions below, e.g., if the application involves hESCs and the applicant did not identify a hESC from the NIH Registry in the application.

https://grants.nih.gov/grants/policy/nihgps/HTML5/section_2/2.5.1_just-in-time_procedures.htm

Updates to Data Management and Sharing Plans

Effective October 1, 2024

- Establishment of a consistent process for updates to the approved DMSP:
 - Pre-award: Use the JIT module in eRA Commons
 - Post-award, RPPR: Report in the RPPR if update is made within 30 days of the RPPR due date. If there is an update to the DMSP, the Post-Award GCO must submit the RPPR.
 - Post-Award, Off-cycle: Use the prior approval request module in eRA Commons, which must be routed to and submitted by the Post-Award GCO.

NOT-OD-24-176 https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-176.html

RPPR Changes to Incorporate the Data Management and Sharing Plans (DMSP)

Effective October 1, 2024

- New DMSP section C.5.c asks recipients who are subject to the <u>DMS Policy</u> the following questions:
 - Whether data has been generated to date and what type of data it is
 - Whether data has been shared for use by others
 - If data has been shared, in what repository and under what unique digital identifiers
 - If data has NOT been shared, what is the status of data sharing (e.g. being prepared for submission, submitted to repository, not yet expected to be shared); and
 - If data has not been generated and/or shared as outlined in an approved DMS plan, what corrective actions have been or will be taken to comply with the approved plan.

NOT-OD-24-123 <u>https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-123.html</u> NOT-OD-24-175 <u>https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-175.html</u>

Updated MIRA Effort Requirements

Effective October 1, 2024

Maximizing Investigators' Research Award (MIRA)	PAR-22-180 or earlier	 Must devote at least 45% of their total research effort to the MIRA through the remainder of the grant project period. New/Renewal in-Progress Proposals: Must state a MIRA PD/PI effort level of at least 45% of their total research effort commitment. New/Renewal Submitted Proposals: If an application was submitted prior to 10/1/2024 but a funding decision hasn't been made yet, no further action is necessary but the 45% effort requirement will apply. Existing Awards: No prior approval is required for an effort reduction as long as it does not exceed the prior approval threshold for a change in status of the PD/PI (see NIH Grants Policy Statement, Section <u>8.1.2.6</u>).
Maximizing Investigators Research Award (MIRA) for Early Stage Investigators (ESI)	PAR-23-145 or earlier	• Old requirement remains: Must devote at least 51% of their total research effort to the MIRA.

NOT-GM-24-054: <u>https://grants.nih.gov/grants/guide/notice-files/NOT-GM-24-054.html</u> PAR-22-180, FAQs: <u>https://www.nigms.nih.gov/Research/mechanisms/MIRA/Pages/faqs-mira-par-22-180.aspx</u>

Updated Application Forms (FORMS-I)

Effective January 25, 2025

- Will include:
 - Adoption of the Common Forms for Biographical Sketch and Current and Pending (Other) Support for submission of applications and RPPRs by May 2025
 - Improvements to the NIH Fellowship Application and Review Process
 - Updates to NRSA Training Grant Applications
- Final forms are still pending, see <u>https://grants.nih.gov/grants-process/write-application/how-to-apply-application-guide</u>

High Level Summary of FORMS-I Changes <u>https://grants.nih.gov/grants/electronicreceipt/files/high-level-form-change-summary-FORMS-I.pdf</u> NOT-OD-24-086 <u>https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-086.html</u>

Common Forms for Biographical Sketch and Current and Pending (Other) Support

Effective May 25, 2025

- NIH will have a separate supplement form to collect the three required agency specific data elements (i.e., Personal Statement, Contributions to Science and Honors) to adhere to Peer Review Regulations at <u>42 CFR 52</u>
- Will require the use of SciENcv
- NIH will require all Senior/Key Personnel to enter the ORCID ID into SciENcv in the Persistent Identifier (PID) section of the Common Forms and link their ORCHID ID to their eRA Commons Profile

NOT-OD-24-163 <u>https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-163.html</u> Biosketch Format Pages, Instructions, and Samples <u>https://grants.nih.gov/grants-process/write-application/forms-directory/biosketch</u>

Other Support https://grants.nih.gov/grants-process/write-application/forms-directory/other-support

Updates to NRSA Training Grant Applications

Effective January 25, 2025

- Changes to the Training Tables
- Recruitment Plan to Enhance Diversity is no longer part of the PHS 398 Research Training Program Plan Form, but is its own attachment
- Also adds a new attachment, Responsible Conduct of Research

"Updates to NIH Training Grant Applications" Training Webinar <u>https://grants.nih.gov/news-events/calendar-of-events/66df65419ca8efaee00543e2</u> NOT-OD-24-129 <u>https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-129.html</u>

Simplified Review Framework for Most Research Project Grant Applications

Effective January 25, 2025

- The Simplified Framework for NIH Peer Review Criteria retains the current five regulatory criteria but reorganizes them into three factors:
 - Factor 1: Importance of the Research (Significance, Innovation), scored 1-9
 - Factor 2: Rigor and Feasibility (Approach), scored 1-9
 - Factor 3: Expertise and Resources (Investigator, Environment), Appropriate/Identify need for additional expertise and/or resources

NOT-OD-24-010 https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-010.html NOT-OD-24-085 https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-085.html

Revisions to the NIH Fellowship Application and Review Process

Effective January 25, 2025

- Revisions to the fellowship review criteria used to evaluate fellowship applications
 - Reduction from 5 to 3 criteria. See https://grants.nih.gov/policy-and-compliance/policy-topics/peer-review/revisions-nih-fellowship-application-review-process/changes-to-fellowship-review-criteria for full details.
- Modification to fellowship application package, including the PHS Fellowship Supplemental Form, to align with the restructured review criteria.
 - See https://grants.nih.gov/policy-and-compliance/policy-topics/peer-review/revisions-nih-fellowship-application-review-process/changes-to-fellowship-applications which includes a detailed chart comparing current application requirements to the January 2025 changes.

"Revisions to the NIH Fellowship Application and Review Processes" Webpage https://grants.nih.gov/policy-and-compliance/policy-topics/peer-review/revisions-nih-fellowshipapplication-review-process NOT-OD-24-107 https://grants.nih.gov/grants/guide/notice-files/NOT-OD-24-107.html

Foreign Influence Decision Making Matrix Effective July 2024

- Provides transparency as to how NIH mitigates potential risk of a result of foreign funding, affiliation, and/or foreign talent recruitment programs
- Includes a discussion of how NIH uses disclosures of other support to address potential overlap of scientific work, budget support, and time commitment
- Outlines a process for addressing allegations of non-compliance including review, appeals, and referrals

https://grants.nih.gov/sites/default/files/NIH%20Decision%20Matrix%20for%20Assessing%20Potential% 20Foreign%20Interference%20for%20Covered%20Individuals%20or%20Senior%207%2026%2024%20cl ean.pdf

Department of Defense (DOD)

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Department of Energy (DOE)

U.S. Department of Agriculture (USDA)

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Questions?

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