

Attestation of Cornell Faculty Responsibilities Regarding External Professional Activities

Cornell University encourages faculty to engage in appropriate external professional activities, including consulting, advisory services, scientific collaborations, and entrepreneurial activities with external organizations. Unless the regular Cornell duties include consulting services to the public, each full-time faculty member may engage in private consulting work in accordance with the principles stated in the Faculty Handbook. Faculty members are responsible for ensuring that any external professional activity is consistent with their obligations to Cornell University, applicable laws and regulations, sponsor requirements, and University policies.

This document summarizes the responsibilities of Cornell faculty members when engaging in external activities. The end of the document provides an attestation for you to acknowledge your understanding of the responsibilities described below.

Faculty Responsibilities

By engaging in an external professional activity, I acknowledge and understand that:

My primary professional obligations remain with Cornell University.

My responsibilities as a Cornell faculty member take precedence over any obligations I assume through an external professional activity. I am responsible for ensuring that my external commitments do not interfere with or limit my ability to fulfill my duties to Cornell.

I must comply with all applicable Cornell policies.

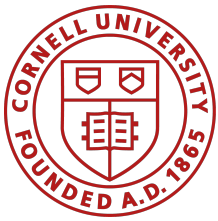
I remain subject to all applicable University policies while engaged in external professional activities, including, but not limited to, policies governing:

- Conflicts of interest and commitment;
- Intellectual property and patent rights;
- Research integrity and scientific misconduct;
- Responsible conduct of research;
- Confidentiality and protection of University information; and
- Any other applicable University policies and procedures.

Compliance with all applicable University policies is my responsibility regardless of the terms of any agreement I enter with an external organization. I will not enter into any agreement that conflicts with my obligations to Cornell, applicable sponsor requirements, or federal, state, or local laws and regulations.

I must disclose external activities when required.

I am responsible for making all disclosures required by Cornell policies, including the disclosure of consulting, outside professional activities, principal investigator responsibilities conducted outside



Attestation of Cornell Faculty Responsibilities Regarding External Professional Activities

Cornell, financial interests, and other activities that may present an actual, potential, or perceived conflict of interest or commitment.

I understand that all external activities, except those that are part of my duties as a faculty member, require approval by the Department Chair and may require other approvals as determined by the Department Chair before they begin.

I may not use external activities to extend or transfer my Cornell research program.

My external professional activities may not include:

- An extension of my Cornell research program;
- Conducting or directing research that should appropriately be performed at Cornell;
- Use of facilities or equipment associated with external professional activities to conduct Cornell research without prior written approval;
- Transferring Cornell research activities to an external organization; or
- Using an external engagement to circumvent Cornell policies governing sponsored research, intellectual property, or research administration.

I must protect my pre-existing obligations regarding Cornell confidential information and intellectual property.

I understand that intellectual property developed within the course and scope of my Cornell employment or developed with more than incidental use of Cornell resources is subject to Cornell's intellectual property policies and applicable agreements.

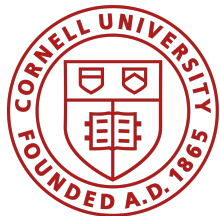
I understand that the Center for Technology Licensing is the Cornell authority for managing Cornell's intellectual property. I will not, and do not have authority to, assign, license, transfer, or otherwise commit or grant rights in any Cornell intellectual property.

Before entering into any external agreement, I am responsible for ensuring that the agreement does not conflict with Cornell's IP policies and ownership rights or my existing obligations regarding inventions and intellectual property.

I am responsible for ensuring that any confidentiality, nondisclosure, intellectual property assignment, publication restriction, or similar provision contained in an external agreement does not prevent me from fulfilling my existing obligations to Cornell or applicable legal or regulatory requirements.

I must comply with sponsor and government requirements.

I understand that my research activities may be subject to obligations arising from sponsored research agreements, federal funding requirements, and applicable laws and regulations.



Attestation of Cornell Faculty Responsibilities Regarding External Professional Activities

As applicable, I remain responsible for complying with:

- Requirements imposed by federal and other research sponsors;
- Disclosure and reporting obligations;
- U.S. Export Control regulations;
- Applicable privacy laws;
- Anti-corruption and anti-bribery laws; and
- Any other legal or regulatory requirements associated with my Cornell responsibilities or sponsored research.

I perform external professional activities in my individual capacity.

Unless specifically authorized by Cornell, I engage in external professional activities as a private individual and not as a representative, employee, or agent of Cornell University.

I may not represent or imply that Cornell is a party to, endorses, or assumes responsibility for any agreement or obligations associated with my external professional activities.

Faculty Attestation

By signing below, I certify that I have read, understand, and agree to abide by the Faculty Responsibilities above regarding my external professional activities.

I understand that failure to comply with the Faculty Responsibilities may result in corrective action under applicable University policies and procedures.

I acknowledge that this attestation applies throughout the duration of my external professional activity and that I remain responsible for complying with my continuing obligations to Cornell University.

Name: _____

Name of External Organization: _____

Start Date: _____ **End Date:** _____

Signature: _____

Date: _____